

# Nguyen T. H. NGUYEN

## CONTACT INFORMATION

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## EDUCATION

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2016-2021 Ph.D. in FINANCE, **Auburn University**, U.S.A.  
2009-2010 Master of BUSINESS, **Monash University**, Australia  
| Major: Banking and Finance  
2000-2004 Bachelor of ECONOMICS, **National Economics University (NEU)**, Vietnam  
| Major: Accounting

## ACADEMIC EXPERIENCE

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2021-present COLLEGE OF BUSINESS, **Minnesota State University Mankato**  
| Assistant Professor of Finance  
2016-2021 HERBERT COLLEGE OF BUSINESS, **Auburn University**  
| Research and Teaching Assistant  
2011-2016 **Foreign Trade University (FTU)**, Vietnam  
| Lecturer of Banking and Finance

## PROFESSIONAL EXPERIENCE

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2005-2011 **Deposit Insurance of Vietnam (DIV)**, HANOI REGIONAL BRANCH  
*Economics Analyst*  
- Senior researcher in economics and banking regulation.  
- On-site depository institution examiner.

## COURSES TAUGHT

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Business Finance, Advanced Business Finance, Financial Markets and Institutions, Principles of Finance, Money and Banking, Risk Management in Banking, Accounting in Banking, Banking and International Banking Systems.

## RESEARCH INTERESTS

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Financial Institutions, Banking Regulation, Household Finance, Corporate Governance, Financial Risk Management.

## PUBLICATIONS

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“Community Banks vs. Non-Community Banks: Where is the Advantage in Local Small Business Funding?” (with James R. Barth), *Atlantic Economic Journal*, 48(2), 161-174 (July 2020).

Book chapter: “Financial Literacy and Use of Financial Services by US Households” (with James R. Barth, Valentina Hartarska, and Jitka Hilliard), *Handbook of Microfinance, Financial Inclusion and Development*, edited by Valentina Hartarska and Robert Cull, Edward Elgar Publishing. Forthcoming 2021.

## WORKING PAPERS

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“Brick-and-Mortar or Digital? The Impact of Technology on Bank Branching and Financial Inclusion”,

“How Differences in Financial Literacy Affect the Household Choice and Use of Financial Institutions and Services?” (with James R. Barth, Valentina Hartarska, and Jitka Hilliard).

## WORK IN PROGRESS

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“Bank vs. Non-bank Compensation Targets and Firm Performance” (with James R. Barth and Stace Sirmans).

## PRESENTATIONS

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*“Community Banks vs. Non-community Banks: Contribution to Local Small Business Funding”*

- Southwestern Finance Association Conference, 2019, Houston.

*“How Does Financial Literacy Affect the Choice and Use of Alternative Financial Firms and Services?”*, (with James R. Barth, Valentina Hartarska, and Jitka Hilliard),

- UAB Academic Symposium, 2020, presented virtually,

- Western Economic Association International Virtual International Conference, 2021, presented virtually,

- Southwestern Finance Association Conference, 2021, presented virtually.

*“Brick-and-Mortar or Digital? The Impact of Technology on Bank Branching and Financial Inclusion”*

- Southwestern Finance Association Conference, 2021, presented virtually.

## PROFESSIONAL SERVICE & AFFILIATIONS

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Ad hoc Referee for the Review of Quantitative Finance and Accounting

Discussant at the Southwestern Finance Association Conference, 2019

Member of the American Finance Association (AFA)

Member of the Financial Management Association (FMA)

Member of the Southwestern Finance Association (SWFA)

## GRANTS & AWARDS

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FEBRUARY 2021	Nominated for the 2021 Auburn University Outstanding Doctoral Student Award
JANUARY 2021	The Clayton Homes Award for Excellence Ph.D. Candidate in Finance
MAY 2020	The Raymond J. Harbert College of Business Award for Poster Presentation at the 2020 Auburn University Student Research Symposium
OCTOBER 2019	Selected as an Emerging Scholar, 2019 Community Banking in the 21st Century Research and Policy Conference, The Federal Reserve of St. Louis
MARCH 2019	SWFA Doctoral Student Travel Grant
JANUARY 2019	AFA Doctoral Student Travel Grant
MAY 2013	FTU President’s Award for Excellence in Supervising Students in Research
JANUARY 2009	Australian Development Scholarship for Postgraduate Education in Australian Institutions
JUNE 2004	NEU President’s Award for Academic Excellence
MAY 2004	VACO - Deloitte Touch Tohmatsu Scholarship for Academic Excellence

## PROFESSIONAL QUALIFICATIONS

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MARCH 2020	<b>Missing Data</b> , Statistical Horizons
SEPTEMBER 2019	<b>Web-scraping and Data-cleaning for Research</b> , University of Indiana
JULY 2019	<b>Structural Equation Modeling (SEM)</b> , Statistical Horizons
MAY 2019	<b>Financial Risk Manager (FRM)</b> , Global Association of Risk Professionals (GARP) ( <i>Level II candidate</i> )

## COMPUTER SKILLS

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Stata, SAS, Python,  $\LaTeX$ , Microsoft Office

## LANGUAGES

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VIETNAMESE: Native language    ENGLISH: Fluent